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## Data Protection Policy

### Control Page

<b>Responsible Person</b>	Director of Finance and Resources
<b>Approved By</b>	Finance and Resources Committee
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September 2010	V1	Director of Finance and Resources	Policy created.
June 2018	V2.1	Director of Finance and Resources	General update.
January 2021	V2.2	Director of Finance and Resources	General update.
March 2022	V2.3	Director of Finance and Resources	In January 2021 the EU GDPR was incorporated into UK legislation as the 'UK GDPR'. All references to EU GDPR or the EEA amended to UK GDPR. Frequency of policy review amended to annually to reflect DfE's recommendation on statutory policies.

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### 1. Aims

Exeter Royal Academy for Deaf Education (the Academy) aims to ensure that all personal data collected about staff, students, parents, trustees, governors, donors, visitors and other individuals is collected, stored and processed in accordance with UK data protection law.

This policy applies to all personal data, regardless of whether it is in paper or electronic format.

### 2. Legislation and guidance

This policy meets the requirements of the:

- UK General Data Protection Regulation (UK GDPR) – the EU GDPR was incorporated into UK legislation, with some amendments, by [The Data Protection](#).

[Privacy and Electronic Communications \(Amendments etc\) \(EU Exit\) Regulations 2020](#)

- [Data Protection Act 2018 \(DPA 2018\)](#)

It is based on guidance published by the Information Commissioner's Office (ICO) on the [GDPR](#).

It also reflects the ICO's [code of practice](#) for the use of surveillance cameras and personal information.

It meets the requirements of the [Protection of Freedoms Act 2012](#) when referring to our use of biometric data.

In addition, this policy complies with regulation 5 of the [Education \(Pupil Information\) \(England\) Regulations 2005](#), which gives parents the right of access to their child's educational record.

### 3. Definitions

TERM	DEFINITION
<b>Personal data</b>	<p>Any information relating to an identified, or identifiable, living individual.</p> <p>This may include the individual's:</p> <ul style="list-style-type: none"><li>➤ Name (including initials)</li><li>➤ Identification number</li><li>➤ Location data</li><li>➤ Online identifier, such as a username</li></ul> <p>It may also include factors specific to the individual's physical, physiological, genetic, mental, economic, cultural or social identity.</p>
<b>Special categories of personal data</b>	<p>Personal data which is more sensitive and so needs more protection, including information about an individual's:</p> <ul style="list-style-type: none"><li>➤ Racial or ethnic origin</li><li>➤ Political opinions</li><li>➤ Religious or philosophical beliefs</li><li>➤ Trade union membership</li><li>➤ Genetics</li><li>➤ Biometrics (such as fingerprints, retina and iris patterns), where used for identification purposes</li><li>➤ Health – physical or mental</li><li>➤ Sex life or sexual orientation</li></ul>

TERM	DEFINITION
<b>Processing</b>	Anything done to personal data, such as collecting, recording, organising, structuring, storing, adapting, altering, retrieving, using, disseminating, erasing or destroying. Processing can be automated or manual.
<b>Data subject</b>	The identified or identifiable individual whose personal data is held or processed.
<b>Data controller</b>	A person or organisation that determines the purposes and the means of processing of personal data.
<b>Data processor</b>	A person or other body, other than an employee of the data controller, who processes personal data on behalf of the data controller.
<b>Personal data breach</b>	A breach of security leading to the accidental or unlawful destruction, loss, alteration, unauthorised disclosure of, or access to, personal data.

## 4. The data controller

The Academy processes personal data relating to staff, students, parents, trustees, governors, donors, visitors and other individuals, and therefore is a data controller.

The Academy is registered with the ICO and paid its data protection fee to the ICO, as legally required.

## 5. Roles and responsibilities

This policy applies to all staff employed by the Academy, and to external organisations or individuals working on our behalf. Staff who do not comply with this policy may face disciplinary action.

### 5.1 Board of Trustees

The Board of Trustees has overall responsibility for ensuring that the Academy complies with all relevant data protection obligations.

### 5.2 Data protection officer

The data protection officer (DPO) is responsible for overseeing the implementation of this policy, monitoring our compliance with data protection law, and developing related policies and guidelines where applicable.

They will provide an annual report of their activities directly to the Board of Trustees and, where relevant, report to the Board their advice and recommendations on Academy data protection issues.

The DPO is also the first point of contact for individuals whose data the Academy processes, and for the ICO.

Details of the DPO's responsibilities are set out in their job description.

The Academy's DPO is Claire Quick, Director of Finance & Resources, and is contactable at [cquick@thedeafacademy.ac.uk](mailto:cquick@thedeafacademy.ac.uk)

The Academy's Deputy DPO is James Heaver, Assistant Principal for Care, and is contactable at [jheaver@thedeafacademy.ac.uk](mailto:jheaver@thedeafacademy.ac.uk)

### **5.3 Director of Finance & Resources**

The Director of Finance & Resources acts as the representative of the data controller on a day-to-day basis.

### **5.4 All staff**

Staff are responsible for:

- Collecting, storing and processing any personal data in accordance with this policy
- Informing the Academy of any changes to their personal data, such as a change of address
- Contacting the DPO in the following circumstances:
  - With any questions about the operation of this policy, data protection law, retaining personal data or keeping personal data secure
  - If they have any concerns that this policy is not being followed
  - If they are unsure whether or not they have a lawful basis to use personal data in a particular way
  - If they need to rely on or capture consent, draft a privacy notice, deal with data protection rights invoked by an individual, or transfer personal data outside the UK
  - If there has been a data breach
  - Whenever they are engaging in a new activity that may affect the privacy rights of individuals
  - If they need help with any contracts or sharing personal data with third parties

## **6. Data protection principles**

The UK GDPR is based on data protection principles that the Academy must comply with. The principles say that personal data must be:

- Processed lawfully, fairly and in a transparent manner

- Collected for specified, explicit and legitimate purposes
- Adequate, relevant and limited to what is necessary to fulfil the purposes for which it is processed
- Accurate and, where necessary, kept up to date
- Kept for no longer than is necessary for the purposes for which it is processed
- Processed in a way that ensures it is appropriately secure

This policy sets out how the Academy aims to comply with these principles.

## 7. Collecting personal data

### 7.1 Lawfulness, fairness and transparency

The Academy will only process personal data where we have one of 6 'lawful bases' (legal reasons) to do so under data protection law:

- The data needs to be processed so that the Academy can **fulfil a contract** with the individual, or the individual has asked the Academy to take specific steps before entering into a contract
- The data needs to be processed so that the Academy can **comply with a legal obligation**
- The data needs to be processed to ensure the **vital interests** of the individual or another person e.g. to protect someone's life
- The data needs to be processed so that the Academy, as a charity, can carry out its **official functions**
- The data needs to be processed for the **legitimate interests** of the Academy or a third party  
(provided the individual's rights and freedoms are not overridden)
- The individual (or their parent/carer when appropriate in the case of a student) has freely given clear **consent**

For special categories of personal data, the Academy will also meet one of the special category conditions for processing under data protection law.

- The individual (or their parent/carer when appropriate in the case of a student) has given **explicit consent**
- The data needs to be processed to perform or exercise obligations or rights in relation to **employment, social security or social protection law**
- The data needs to be processed to ensure the **vital interests** of the individual or another person, where the individual is physically or legally incapable of giving consent
- The data has already been made **manifestly public** by the individual

- The data needs to be processed for the establishment, exercise or defence of **legal claims**
- The data needs to be processed for reasons of **substantial public interest** as defined in legislation
- The data needs to be processed for **health or social care purposes**, and the processing is done by, or under the direction of, a health or social work professional or by any other person obliged to confidentiality under law
- The data needs to be processed for **public health reasons**, and the processing is done by, or under the direction of, a health professional or by any other person obliged to confidentiality under law
- The data needs to be processed for **archiving purposes**, scientific or historical research purposes, or statistical purposes, and the processing is in the public interest

For criminal offence data, we will meet both a lawful basis and a condition set out under data protection law. Conditions include:

- The individual (or their parent/carer when appropriate in the case of a student) has given **consent**
- The data needs to be processed to ensure the **vital interests** of the individual or another person, where the individual is physically or legally incapable of giving consent
- The data has already been made **manifestly public** by the individual
- The data needs to be processed for or in connection with legal proceedings, to obtain legal advice, or for the establishment, exercise or defence of **legal rights**
- The data needs to be processed for reasons of **substantial public interest** as defined in legislation

Whenever we first collect personal data directly from individuals, we will provide them with the relevant information required by data protection law.

We will always consider the fairness of our data processing. We will ensure we do not handle personal data in ways that individuals would not reasonably expect or use personal data in ways which have unjustified adverse effects on them.

## 7.2 Limitation, minimisation and accuracy

The Academy will only collect personal data for specified, explicit and legitimate reasons. We will explain these reasons to the individuals when we first collect their data.

If we want to use personal data for reasons other than those given when we first obtained it, we will inform the individuals concerned before we do so, and seek consent where necessary.

Staff must only process personal data where it is necessary in order to do their jobs.

We will keep data accurate and, where necessary, up-to-date. Inaccurate data will be rectified or erased when appropriate.

In addition, when staff no longer need the personal data they hold, they must ensure it is deleted or anonymised. This will be done in accordance with recognised guidance, including for Academy students, the Information and Records Management Society's toolkit for schools [IRMS toolkit](#).

## **8. Sharing personal data**

The Academy will not normally share personal data with anyone else without consent, but there are certain circumstances where we may be required to do so. These include, but are not limited to, situations where:

- There is an issue with a student or parent/carer that puts the safety of Academy staff at risk
- We need to liaise with other agencies – we will seek consent as necessary before doing this
- Our suppliers or contractors need data to enable us to provide services to our staff and students – for example, IT companies. When doing this, we will:
  - Only appoint suppliers or contractors which can provide sufficient guarantees that they comply with data protection law
  - Establish a contract with the supplier or contractor to ensure the fair and lawful processing of any personal data we share
  - Only share data that the supplier or contractor needs to carry out their service

The Academy will also share personal data with law enforcement and government bodies where we are legally required to do so.

We may also share personal data with emergency services and local authorities to help them to respond to an emergency situation that affects any of our students or staff.

Where we transfer personal data internationally, we will do so in accordance with UK data protection law.

## **9. Subject access requests and other rights of individuals**

### **9.1 Subject access requests**

Individuals have a right to make a 'subject access request' to gain access to personal information that the Academy holds about them. This includes:

- Confirmation that their personal data is being processed
- Access to a copy of the data
- The purposes of the data processing
- The categories of personal data concerned
- Who the data has been, or will be, shared with

- How long the data will be stored for, or if this isn't possible, the criteria used to determine this period
- Where relevant, the existence of the right to request rectification, erasure or restriction, or to object to such processing
- The right to lodge a complaint with the ICO or another supervisory authority
- The source of the data, if not the individual
- Whether any automated decision-making is being applied to their data, and what the significance and consequences of this might be for the individual
- The safeguards provided if the data is being transferred internationally

Subject access requests can be submitted in any form, but we may be able to respond to requests more quickly if they are made in writing and include:

- Name of individual
- Correspondence address
- Contact number and email address
- Details of the information requested

If a staff member receives a subject access request they must immediately forward it to the DPO.

## **9.2 Children and subject access requests**

Personal data about a child belongs to that child, and not the child's parents or carers. For a parent or carer to make a subject access request with respect to their child, the child must either be unable to understand their rights and the implications of a subject access request, or have given their consent.

Children below the age of 12 are generally not regarded to be mature enough to understand their rights and the implications of a subject access request. Therefore, most subject access requests from parents or carers of students at the Academy may be granted without the express permission of the student. This is not a rule and a student's ability to understand their rights will always be judged on a case-by-case basis.

## **9.3 Responding to subject access requests**

When responding to requests, we:

- May ask the individual to provide 2 forms of identification
- May contact the individual via phone to confirm the request was made
- Will respond without delay and within 1 month of receipt of the request (or receipt of the additional information needed to confirm identity, where relevant)

- Will provide the information free of charge
- May tell the individual we will comply within 3 months of receipt of the request, where a request is complex or numerous. We will inform the individual of this within 1 month, and explain why the extension is necessary

We will not disclose information for a variety of reasons, such as if it:

- Might cause serious harm to the physical or mental health of the student or another individual
- Would reveal that the child is being or has been abused, or is at risk of abuse, where the disclosure of that information would not be in the child's best interests
- Would include another person's personal data that we can't reasonably anonymise, and we don't have the other person's consent and it would be unreasonable to proceed without it
- Is part of certain sensitive documents, such as those related to crime, immigration, legal proceedings or legal professional privilege, management forecasts, negotiations, confidential references, or exam scripts

If the request is unfounded or excessive, the Academy may refuse to act on it, or charge a reasonable fee to cover administrative costs. We will take into account whether the request is repetitive in nature when making this decision.

When we refuse a request, we will tell the individual why, and tell them they have the right to complain to the ICO or they can seek to enforce their subject access right through the courts.

#### **9.4 Other data protection rights of the individual**

In addition to the right to make a subject access request (see above), and to receive information when we are collecting their data about how we use and process it (see section 7), individuals also have the right to:

- Withdraw their consent to processing at any time
- Ask us to rectify, erase or restrict processing of their personal data (in certain circumstances)
- Prevent use of their personal data for direct marketing
- Object to processing which has been justified on the basis of public interest, official authority or legitimate interests
- Challenge decisions based solely on automated decision making or profiling (i.e. making decisions or evaluating certain things about an individual based on their personal data with no human involvement)
- Be notified of a data breach (in certain circumstances)
- Make a complaint to the ICO
- Ask for their personal data to be transferred to a third party in a structured, commonly used and machine-readable format (in certain circumstances)

Individuals should submit any request to exercise these rights to the DPO. If a staff member receives such a request, they must immediately forward it to the DPO.

## **10. Parental requests to see the educational record**

Parents, or those with parental responsibility, have a legal right to free access to their child's educational record (which includes most information about a student) within 15 school days of receipt of a written request.

If the request is for a copy of the educational record, the Academy may charge a fee to cover the cost of supplying it.

This right applies as long as the student concerned is aged under 18.

There are certain circumstances in which this right can be denied, such as if releasing the information might cause serious harm to the physical or mental health of the student or another individual, or if it would mean releasing exam marks before they are officially announced.

## **11. CCTV**

The Academy uses CCTV in various locations around its site to enhance safety and security. We will adhere to the ICO's [code of practice](#) for the use of CCTV.

We do not need to ask individuals' permission to use CCTV, but we make it clear where individuals are being recorded. Security cameras are clearly visible and accompanied by prominent signs explaining that CCTV is in use.

Any enquiries about the CCTV system should be directed to the Estates & Facilities Manager.

## **12. Photographs and videos**

As part of our activities, we may take photographs and record images of individuals within the Academy.

The Academy will obtain written consent from parents/carers, or students aged 18 and over, for photographs and videos to be taken of students for communication, marketing and promotional materials. If staff members do not want to be included in photos or videos for marketing and promotional materials they should advise the Marketing Team.

Where parental consent is required, we will clearly explain how the photograph and/or video will be used to both the parent/carer and student. Where parental consent is not required, we will clearly explain to the student how the photograph and/or video will be used.

Any photographs and videos taken by parents/carers at Academy events for their own personal use are not covered by data protection legislation. However, we will ask that photos or videos with other students are not shared publicly on social media for safeguarding reasons, unless all the relevant parents/carers (or students where appropriate) have agreed to this.

Where the school takes photographs and videos, uses may include:

- Within the Academy on notice boards/screens and in brochures, newsletters, etc.
- Outside of the Academy by external agencies such as the school newspapers and campaigns
- Online on the Academy website or social media pages

Consent can be refused or withdrawn at any time. If consent is withdrawn, the Academy will delete the photograph or video and not distribute it further.

When using photographs and videos in this way, first names may be used, subject to consent. A full name will only be published if additional, explicit consent has been given for the specific purpose, and only if deemed necessary.

See the Academy's Photography and Filming Policy for more information on our use of photographs and videos.

### **13. Data protection by design and default**

The Academy will put measures in place to show that we have integrated data protection into all of our data processing activities, including:

- Appointing a suitably qualified DPO, and ensuring they have the necessary resources to fulfil their duties and maintain their expert knowledge
- Only processing personal data that is necessary for each specific purpose of processing, and always in line with the data protection principles set out in relevant data protection law (see section 6)
- Completing data protection impact assessments where the Academy's processing of personal data presents a high risk to rights and freedoms of individuals, and when introducing new technologies (the DPO will advise on this process)
- Integrating data protection into internal documents including this policy, any related policies and privacy notices
- Regularly training members of staff on data protection law, this policy, any related policies and any other data protection matters; we will also keep a record of attendance
- Regularly conducting reviews and audits to test our privacy measures and make sure we are compliant
- Appropriate safeguards being put in place if we transfer any personal data outside of the UK, where different data protection laws will apply
- Maintaining records of our processing activities, including:

- o For the benefit of data subjects, making available the name and contact details of the Academy and DPO and all information we are required to share about how we use and process their personal data (via our privacy notices)
- o For all personal data that we hold, maintaining an internal record of the type of data, type of data subject, how and why we are using the data, any third-party recipients, any transfers outside of the UK and the safeguards for those, retention periods and how we are keeping the data secure

## **14. Data security and storage of records**

The Academy will protect personal data and keep it safe from unauthorised or unlawful access, alteration, processing or disclosure, and against accidental or unlawful loss, destruction or damage.

In particular:

- Paper-based records and portable electronic devices, such as laptops and hard drives that contain personal data are kept under lock and key when not in use
- Papers containing confidential personal data must not be left on office and classroom desks, on staffroom tables, or left anywhere else where there is general access
- Where personal information needs to be taken off site, staff must have specific permission from their line manager to do this
- Passwords that are at least 8 characters long containing letters and numbers are used to access Academy computers, laptops and other electronic devices. Staff and students are reminded to change their passwords at regular intervals
- Encryption software is used to protect all portable devices and removable media, such as laptops and USB devices
- Staff, students, trustees, governors or volunteers must not store personal information on their personal devices (see our ICT Acceptable Usage Policy)
- Where we need to share personal data with a third party, we carry out due diligence and take reasonable steps to ensure it is stored securely and adequately protected (see section 8)

## **15. Disposal of records**

Personal data that is no longer needed will be disposed of securely. Personal data that has become inaccurate or out of date will also be disposed of securely, where we cannot or do not need to rectify or update it.

For example, we will shred or incinerate paper-based records, and overwrite or delete electronic files. We may also use a third party to safely dispose of records on the Academy's behalf. If we do so, we will require the third party to provide sufficient guarantees that it complies with data protection law.

## **16. Personal data breaches**

The Academy will make all reasonable endeavours to ensure that there are no personal data breaches.

In the unlikely event of a suspected data breach, we will follow the procedure set out in appendix 1.

When appropriate, we will report the data breach to the ICO within 72 hours after becoming aware of it. Such breaches in the Academy's context may include, but are not limited to:

- A non-anonymised dataset being published on the Academy website which shows details of students eligible for the pupil premium
- Safeguarding information being made available to an unauthorised person
- Hardcopy reports sent to the wrong students or families
- The theft of an Academy laptop containing non-encrypted personal data about students
- Publication of personal information relating to donors

## **17. Training**

All staff, trustees, governors and volunteers are provided with data protection training as part of their induction process.

Data protection will also form part of continuing professional development, where changes to legislation, guidance or the Academy's processes make it necessary.

## **18. Monitoring arrangements**

The DPO is responsible for monitoring and reviewing this policy.

This policy will be reviewed annually and approved by the Finance & Resources Committee. The policy will be shared with the Board of Trustees.

## **19. Links with other policies**

This data protection policy is linked to our:

- Safeguarding policy
- ICT Acceptable use policy
- Computer security and internet policy
- Photography and Filming Policy
- Social Media Policy
- Privacy policies
- GDPR policy – Exams and Exams Archiving Policy

## Appendix 1: Personal data breach procedure

This procedure is based on [guidance on personal data breaches](#) produced by the Information Commissioner's Office (ICO).

- On finding or causing a breach, or potential breach, the staff member, governor, trustee or data processor must immediately notify the DPO
- The DPO will investigate the report, and determine whether a breach has occurred. To decide, the DPO will consider whether personal data has been accidentally or unlawfully:
  - Lost
  - Stolen
  - Destroyed
  - Altered
  - Disclosed or made available where it should not have been
  - Made available to unauthorised people
- Staff, governors and trustees will cooperate with the investigation (including allowing access to information and responding to questions).
  - If a breach has occurred or it considered to be likely that is the case, the DPO will alert the Senior Leadership Team. If the breach is serious it will also be reported to the Chairs of Trustees and Governors
- The DPO will make all reasonable efforts to contain and minimise the impact of the breach. Relevant staff members or data processors should help the DPO with this where necessary, and the DPO should take external advice when required (e.g. from IT providers). (See the actions relevant to specific data types are set out at the end of this procedure)
- The DPO will assess the potential consequences, based on how serious they are, and how likely they are to happen before and after the implementation of steps to mitigate the consequences
- The DPO will work out whether the breach must be reported to the ICO and the individuals affected using the ICO's [self-assessment tool](#)
- The DPO will document the decision (either way), in case it is challenged at a later date by the ICO or an individual affected by the breach. Documented decisions will be retained by the Academy.
- Where the ICO must be notified, the DPO will do this via the ['report a breach' page](#) of the ICO website, or through its breach report line (0303 123 1113), within 72 hours of the Academy's awareness of the breach. As required, the DPO will set out:
  - A description of the nature of the personal data breach including, where possible:
    - o The categories and approximate number of individuals concerned
    - o The categories and approximate number of personal data records concerned
  - The name and contact details of the DPO

- A description of the likely consequences of the personal data breach
  - A description of the measures that have been, or will be taken, to deal with the breach and mitigate any possible adverse effects on the individual(s) concerned
- If all the above details are not yet known, the DPO will report as much as they can within 72 hours of the Academy's awareness of the breach. The report will explain that there is a delay, the reasons why, and when the DPO expects to have further information. The DPO will submit the remaining information as soon as possible
  - Where the Academy is required to communicate with individuals whose personal data has been breached, the DPO will tell them in writing. This notification will set out:
    - A clear description of the nature of the personal data breach
    - The name and contact details of the DPO
    - A description of the likely consequences of the personal data breach
    - A description of the measures that have been, or will be, taken to deal with the data breach and mitigate any possible adverse effects on the individual(s) concerned
  - The DPO will consider, in light of the investigation and any engagement with affected individuals, whether to notify any relevant third parties who can help mitigate the loss to individuals – for example, the police, insurers, banks or credit card companies
  - The DPO will document each breach, irrespective of whether it is reported to the ICO. For each breach, this record will include the:
    - o Facts and cause
    - o Effects
    - o Action taken to contain it and ensure it does not happen again (such as establishing more robust processes or providing further training for individuals)

Records of all breaches will be retained by the Academy.

- The DPO and the Senior Leadership team will meet to review what happened and how it can be stopped from happening again. This meeting will happen as soon as reasonably possible
- The DPO and Chair of Trustees will meet termly to assess recorded data breaches and identify any trends or patterns requiring action to reduce risks of future breaches

### **Actions to minimise the impact of data breaches**

We will take the actions set out below to mitigate the impact of different types of data breach, focusing especially on breaches involving particularly risky or sensitive information. We will review the effectiveness of these actions and amend them as necessary after any data breach.

### Sensitive information being disclosed via email (including safeguarding records)

- If special category data (sensitive information) is accidentally made available via email to unauthorised individuals, the sender must attempt to recall the email as soon as they become aware of the error
- Members of staff who receive personal data sent in error must alert the sender and the DPO as soon as they become aware of the error
- If the sender is unavailable or cannot recall the email for any reason, the DPO will ask the IT department to attempt to recall it
- In any cases where the recall is unsuccessful or cannot be confirmed as successful, the DPO will consider whether its appropriate to contact the relevant unauthorised individuals who received the email, explain that the information was sent in error, and request that those individuals delete the information and do not share, publish, save or replicate it in any way
- The DPO will endeavor to obtain a written response from all the individuals who received the data, confirming they have complied with this request
- The DPO will carry out an internet search to check that the information has not been made public; if it has, we will contact the publisher/website owner or administrator to request that the information is removed from their website and deleted.
- If safeguarding information is compromised, the DPO will inform the designated safeguarding lead

## Personal Data Breach.....what the DPO will do

Alert SLT and if necessary the chair of the Governing Body and the Chair of Trustees

Contain and minimise the impact of the breach  
Taking all reasonable efforts, and assisted by relevant staff

Assess the potential consequences  
How serious are they? How likely are they to happen?

Risk to someone's rights and freedoms: is it likely?  
Could the breach put someone at risk of discrimination, identity theft

No

Yes

Report the breach to the ISO within 72 hours go to [www.ico.org.uk/for-organisation/report-a-breach/](http://www.ico.org.uk/for-organisation/report-a-breach/) or call 0303 123 1113.

Provide information on:

- The nature of the breach, including where possible: the categories and approximate number of individuals concerned, the categories and approximate number of data records concerned
- The likely consequences of the breach
- The measures you have taken, or will take, to deal with the breach and mitigate any possible adverse effects on those concerned. Give a point of contact – usually the DPO. If not all details are available, report as much as possible and explain that there is a delay, the reasons why, and when you'll have further information. Submit the remaining information ASAP.

Risk to someone's rights and freedoms: is it high?  
How serious are the risks? How likely are they to happen?

No

Yes

Inform the affected individual(s) promptly

Do this in writing and set out:

- Your (the DPO's) name and contact details
- The likely consequences of the breach
- The measure you have taken, or will take, to deal with the breach and mitigate any possible adverse effects on individuals

Notify any third parties who can mitigate the impact of the breach

For example, the police, insurers, banks or credit card companies

Review and record the breach – discuss with SLT

- What happened
- How we can stop it from happening again
- Whether a process or system regularly has minor incidents record:
- Facts and cause
- Effects
- All decisions taken – including whether or not to report to the ICO/individuals affected
- Action taken to contain the breach and ensure it does not happen again (such as establishing more robust processes or providing further training for individuals)

Records of all data breaches are held by the DPO